

07-28-04

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1PW/B

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/796,579	03/09/2004	Y. Yamanaka	NECG 21.055

CONFIRMATION NO. 1096

026304  
KATTEN MUCHIN ZAVIS ROSENMAN  
575 MADISON AVENUE  
NEW YORK, NY 10022-2585

## FORMALITIES LETTER



\*OC000000012780293\*

Date Mailed: 05/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

07/29/2004 WRSFAW1 00000062 501290 10796579  
01 FC:1001 770.00 DA  
02 FC:1051 130.00 DA

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*A copy of this notice MUST be returned with the reply.*

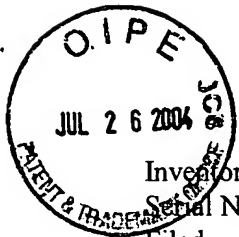
DSLH

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Yutaka YAMANAKA, et al.  
Serial No : 10/796,579  
Filed : March 9, 2004  
Title : OPTICAL DISK MEDIUM AND OPTICAL DISK...  
Art Unit : 2655  
Confirmation No. : 1096

July 26, 2004

Mail Stop MISSING PARTS  
Director of the U.S. Patent and  
Trademark Office  
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RESPONSE FOR NOTICE TO FILE MISSING PARTS

To the Commissioner for Patents:

We have received a Notice to File Missing Parts of Application dated May 27, 2004, a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Return post card;
- ☒ 3. Copy of Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully submitted,

Michael I. Markowitz  
Reg. No.: 30,659

CUSTOMER NO.: 026304  
TELEPHONE: (212) 940-8800  
FAX: (212) 940-8986  
Docket No.: NECG 21.055 (100806-00255)

Filed by Express Mail  
(Receipt No. EL980189109 US)  
on July 26, 2004  
pursuant to 37 C.F.R. 1.10.  
by Seney Han